# Read and Publish License Agreement CERN Reference:

This Agreement is between the **American Physical Society**, herein known as ("Publisher"), located at One Physics Ellipse, College Park, MD 20740, USA

and

The European Organization for Nuclear Research ("Licensee"), an Intergovernmental Organization with its offices at 1211 Geneva 23, Switzerland and whose contact details are included in Schedule 3.

The Licensee warrants that it is authorized to accept and enforce all terms and conditions of this Agreement set forth herein.

- 1. The Publisher agrees that the Licensee may grant their Authorized Users (defined in Schedule 1) electronic access to the Publisher's Licensed Materials listed in Schedule 2 ("Licensed Materials").
- 2. The Licensee will access the Licensed Materials via the IP addresses set out in Schedule 4 or via IP addresses previously supplied to the Publisher.
- 3. The Publisher agrees to enter into a Read and Publish agreement with the Licensee, by providing open access publishing services to the Licensee, according to the terms detailed in Schedule 6 ("Provision of Open Access Publishing").
- 4. This Agreement is subject to the Publisher's Terms and Conditions, which are set out in Schedule 1.
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- 7. The provisions of this Agreement shall be interpreted in accordance with their true meaning and effect. Without prejudice to CERN's status as an intergovernmental

organisation, reference shall be made to Swiss substantive law where a matter is not specifically covered by the Agreement or an Agreement provision is ambiguous or unclear. Such reference shall be made exclusively for the matter or the Agreement provision concerned, and shall in no event apply to the other provisions of the Agreement. The United Nations Convention on Contracts for the International Sale of Goods shall not apply.

- 8. If any dispute under the Agreement fails to be settled amicably, the Parties shall resort to the arbitration procedure defined in paragraphs (i) to (vii) below inclusive, drawn up by CERN in accordance with its status as an intergovernmental organisation. Notwithstanding the reference of any dispute to arbitration, the parties shall continue to perform their obligations under the Agreement.
- (i) Within thirty days of a party giving to the other party written notification of its intention to resort to arbitration, the first party shall appoint an arbitrator. The second party shall appoint an arbitrator within three months of the appointment of the first arbitrator. The two arbitrators shall, by joint agreement and within three months of the appointment of the second arbitrator, select a third arbitrator, who shall subsequently be appointed by the parties to preside over the arbitration tribunal.
- (ii) If the second party fails to appoint an arbitrator or if the two arbitrators fail to agree on the selection of a third arbitrator, the second or, as the case may be, the third arbitrator, shall be selected by the President of the Administrative Tribunal of the International Labour Organization, established in Geneva, Switzerland, and shall subsequently be appointed by the parties, at the request of the first party to do so.
- (iii) None of the arbitrators shall be drawn from amongst persons who are or have been in any way in or at the service of the Licensee or the Publisher or any subsidiary or affiliate of the latter or who have any other conflict of interest. The arbitrators shall act impartially in the execution of their duties.
- (iv) The arbitration proceedings shall take place in Geneva. The parties shall agree on the terms of reference of the arbitration tribunal, including the procedure to be followed, within thirty days of the appointment of the third arbitrator.
- (v) The arbitration tribunal shall faithfully apply the Agreement and shall set out in its award the detailed grounds for its decision. The costs of the arbitration, including all reasonable fees expended by the parties, shall be borne by the unsuccessful party or parties and the award shall specify how such costs are to be divided. The arbitration tribunal shall have no authority to award interest.
- (vi) The arbitral award shall be final and binding upon the parties, which hereby expressly renounce the right to any form of appeal or revision, whether ordinary or

extraordinary, it being understood that each party may, within two weeks from the date of the award, request the arbitration tribunal to give a written interpretation of the award or to correct computational or typographical errors. The interpretation or correction shall be made known to the parties within two months from the date of the request and shall become part of the award. Until the date of the delivery by the arbitration tribunal of any requested interpretation or correction, the execution of the arbitral award shall be suspended.

- (vii) Save to the extent required by law, the arbitral award shall not be published or its contents made known to any third party without the prior written approval of each party.
- 9. The Publisher shall not use or make reference to any images depicting or belonging to the Licensee, the Licensee's logos or any of the names under which the Licensee is known without prior written permission from the CERN Procurement Service. Any permission shall expire upon termination of the Agreement. The Licensee may withdraw any permission granted pursuant to the present clause at any time.
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The Licensee agrees to pay the required Total Fees detailed in Schedule 3.

In consideration of payment, the Publisher provides online access to all of the Licensed Materials listed on Schedule 2, and provides the open access publishing services for all Eligible Articles according to the provisions in Schedule 6. The fee is payable within 30 days of receipt of an invoice.

The Licensee is responsible for all applicable taxes and fees arising from or related to the use of the Licensed Materials as required by any national, state, provincial, or local jurisdiction to which the Licensee is subject to including, without limitation, service taxes

The Publisher's prices reflect current and future APS Open Access initiatives and no price adjustments will be made during the term of this Agreement, except as follows:

- a. The print copy of any journal received by the Licensee may be added for a percentage of the subscription price for that title, plus mailing cost (if applicable). The Print Add-On price is available upon request and subject to the Publisher's annual price increase.
- b. A new title, not initially included in the Licensed Materials listed in Schedule 2, may be added to this Agreement at any time, at a price to be agreed upon by the Publisher and Licensee.

c. If any journal in Schedule 2 ceases publication or is withdrawn by the Publisher from the APS Platform, or if the Publisher is unable to provide continued access to any title during the term of this Agreement, the Licensee's Total Fees shall be reduced by an amount agreed between the Publisher and the Licensee.

The Licensee agrees that, with the exceptions listed above and in Schedule 3, there will be no other reductions in the total price of this License Agreement for the duration of this Agreement.

The Publisher agrees that, with the exceptions listed above and in Schedule 3, there will be no other increases in the total price or unit prices of this License Agreement for the duration of this Agreement.

The term of this Agreement is for the period from January 1, 2021 to December 31, 2023, inclusive of both dates.

#### 8. ARCHIVAL RIGHTS

The Publisher will use reasonable efforts to maintain an archive of its entire electronic journal content. In particular, the Publisher maintains three complete and continuously updated mirror sites for all of its content from the present back to 1893, distributed widely across the United States, and also deposits the full Publisher's content with Portico.

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a. Subject to applicable law, the Licensee agrees to indemnify, defend and hold the Publisher harmless from and against any loss, damage, costs, liability and expenses (including reasonable legal and professional fees) arising out of any claim or legal action taken against the Publisher related to or in any way connected with any use of the Licensed Materials by the Licensee or Authorized Users or any failure by the Licensee to perform its obligations in relation to this Agreement, provided that nothing in this Agreement shall make the Licensee liable for breach of the terms of the Agreement by any authorized user provided that the Licensee did not cause, knowingly assist or condone the continuation of such breach to continue after becoming aware of an actual breach having occurred.

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- c. The Publisher shall:
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  - use reasonable efforts to make the Licensed Materials available to the Licensee and to Authorized Users at all times and on a twentyfour hour basis, save for routine maintenance (which shall be

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Each party shall use its best efforts to safeguard the intellectual property, confidential information and proprietary rights of the other parties. It is acknowledged by both parties that the Publisher and the Licensee may publish information about the existence of this Agreement and its scope. The Licensee may request written permission from the Publisher to publish additional details of the Agreement, such as the fee structure and the payment mechanisms, the total amount of the fees and the number of articles.

#### 12. OFFICIAL VERSION OF RECORD

The Publisher will use commercially reasonable efforts to ensure that the online version of the journal corresponds in content with the print version. In the event of any discrepancy between the print and online versions, the online version will be the official version of record.

#### 13. TERMINATION

This Agreement will terminate:

- a. if and when the Total Fees lapse or when conditions covered by (b) or (c), below have occurred:
- b. upon a determination by the Publisher that the Licensee have unknowingly or unintentionally violated any term or condition of this Agreement and upon notice to the Licensee of such violation, the Agreement will terminate unless within ten (10) business days after receiving written or e-mail notice of the violation, unless the Licensee implements procedures, reasonably satisfactory to the Publisher, to prevent future violations.

#### GENERAL

- a. This Agreement constitutes the entire agreement of the parties and supersedes all prior communications, understandings and agreements relating to the subject matter of this Agreement, whether oral or written.
- b. This Agreement may not be assigned by either party to any other person or organization, nor may either party sub-contract any of its obligations, except as provided in this Agreement in respect of the management and operation of the Licensed Materials, without the prior written consent of the other party, which consent shall not unreasonably be withheld.
- c. Any notices to be served on either of the parties by the other shall be sent by prepaid recorded delivery or registered mail to the address of the addressee as set out in this Agreement or to such other address as notified by either party to the other as its address for service of notices.
- d. Neither party's delay or failure to perform any provision of this Agreement, as result of circumstances beyond its control (including, without limitation, war, general strikes, floods, governmental restrictions, power, telecommunications or Internet failures, or damage to or destruction of any network facilities) shall be deemed to be, or to give rise to, a breach of this Agreement.

- The invalidity or un-enforceability of any provision of this Agreement shall not affect the continuation or enforceability of the remainder of this Agreement.
- f. Either party's waiver, or failure, to require performance by the other, of any provision of this Agreement will not affect its full right to require such performance at any subsequent time, or be taken or held to be a waiver of the provision itself.

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# (All Journal Content is Online Only Format)

Physical Review Letters

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PRX Quantum (Open Access)

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Reviews of Modern Physics

Physical Review A

Physical Review B

Physical Review C

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Physical Review E

Physical Review Applied

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Physical Review Physics Education Research (Open Access)

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#### ISSN and CODENS:

http://librarians.aps.org/issn http://journals.aps.org \*Also listed in Schedule 5

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#### **APS Technical Support:**

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# Schedule 3 Administrator Information and Fees

Name of Administrator:

Account #:

Full Mailing Address of Administrator Scientific Information Service European Organization for Nuclear Research Esplandade des Particules 1, 1211 Geneva 23, Switzerland

Phone:

Email:

Website: sc

scientific-info.cern

Annual Pricing	2021	2022	2023
Net Total Fee	\$95,364.00	\$100,132.00	\$105,139.00

Net Total Fees for 2021-2023: \$300,635.00 USD

## Minimum/Maximum Article Count Reconciliation

The total value of this Agreement will remain as stated in the above "Net Total Fee for 2021-2023", provided the total number of articles counted under this agreement remain within the range of a Minimum Count of 84 and a Maximum Count of 141, inclusive of both values. Should the actual number of articles accepted under this Agreement fall outside of this stated range, the pricing will be adjusted as follows.

The Minimum Count will be the total of all non-HEP articles accepted under this Agreement during the Term of the Agreement, and will include all articles accepted January 1, 2021 – June 30, 2021, inclusive, in which the Eligible Article definition from 2020 and prior agreements were temporarily extended to also include articles with non-corresponding authors affiliated with the Licensee.

The Maximum Count will be the total of all non-HEP articles accepted under this Agreement during the Term of the Agreement, except for any non-corresponding author articles that have not been verified by the Licensee as eligible under this agreement. All Corresponding Author articles and Participating Collaboration articles accepted under this Agreement Term, January 1, 2021 – December 31, 2023, will be considered in calculating the Maximum Count.

If after the completion of the Term of this Agreement the Minimum Count total is less than 84 articles, the Article Difference will be calculated by subtracting the actual Minimum Count value from 84. The Publisher will return to the Licensee a refund total calculated by multiplying the Article Difference value by \$2,500 USD, and capped at a maximum total refund of \$50,000 USD, representing a minimum count of 64 and an Article Difference total of 20.

If after the completion of the Term of this Agreement the Maximum Count total is greater than 141 articles, the Article Difference will be calculated by subtracting 141 from the actual Maximum Count value. The Licensee will pay the Publisher an additional reconciliation fee calculated by multiplying the Article Difference value by \$2,500 USD.

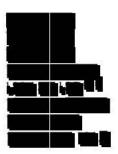
Reconciliation for an article total outside the range of 84-141, inclusive, will be completed by invoicing or refunding activities no later than March 31, 2024.

**SCOAP3** Accounting

Annual changes to the Publisher's subscription list prices and associated SCOAP3 offset percentages will not affect the net invoice pricing in the table above, but both parties will be interested in calculating the applicable SCOAP3 offset in each year of the Agreement for accounting purposes. In each year the current applicable Tier 5 APS-ALL pricing and SCOAP3 offset percentage will be used to calculate the SCOAP3 offset value, which is consistent with how all other customer accounts are handled.

SCOAP3 Offset	2021	2022	2023
Tier 5 APS All price	\$51,440	TBD	TBD
Offset Percentage	12.91%	TBD	TBD
Offset Total	\$6,641	TBD	TBD

# Schedule 4 List of IP Ranges



# Schedule 5 ISSNs, CODENs, and URLs

TITLE	ISSN	CODEN	URL
Physical Review Letters	1079-7114	PRLTAO	journals.aps.org/prl
Physical Review X	2160-3308	PRXHAE	journals.aps.org/prx
Reviews of Modern Physics	1539-0756	RMPHAT	journals.aps.org/rmp
Physical Review A	2469-9934	PLRAAN	journals.aps.org/pra
Physical Review B	2469-9969	PRBMDO	journals.aps.org/prb
Physical Review C	2469-9993	PRVCAN	journals.aps.org/prc
Physical Review D	2470-0029	PRVDAQ	journals.aps.org/prd
Physical Review E	2470-0053	PLEEE8	journals.aps.org/pre
Physical Review Applied	2331-7019	PRAHB2	journals.aps.org/prapplied
Physical Review Fluids	2469-990X	PRFHBR	journals.aps.org/prfluids
Physical Review Materials	2475-9953	PRMHAR	journals.aps.org/prmaterials
Physical Review Research	2643-1564	PRRHAI	journals.aps.org/prresearch
Physical Review Accelerators and Beams	2469-9888	PRABCJ	journals.aps.org/prab
Physical Review Physics Education Research	2469-9896	PRPECZ	journals.aps.org/prper
Physical Review Online Archive (PROLA)	1536-6065	N/A	journals.aps.org/archive
Physics	1943-2879	PHYSGM	physics.aps.org

### Schedule 6 Provision of Open Access Publishing

The following defines the Provision of Open Access Publishing services that, in addition to electronic access to Licensed Materials (Schedule 2) granted to the Licensee, comprise the Read and Publish Agreement.

The open access publishing services and workflows described in the following are conceived as a pilot in order to develop an article-based open access publishing model. The parties agree to collaborate towards the development of streamlined author identification and verification workflows as well as efficient invoicing and reporting processes.

This Agreement includes a Transition Period, January 1, 2021 – June 30, 2021, inclusive of both dates, during which time the Publisher will honor the definition of Eligible Articles set out in the "2020 Agreement to Publish CERN Non-HEP Research Papers" which states: "A CERN paper is defined as paper accepted by APS for publication with at least one author affiliated with CERN." The Publisher may extend the Transition Period at its discretion, and will continue to honor, at no additional cost to the Licensee, the definition of Eligible Articles from the "2020 Agreement to Publish CERN Non-HEP Research Papers" until the new workflow is functioning to allow the Licensee to verify Eligible Articles. Following this Transition Period, for the remainder of the Term of this Agreement, the Provision of Open Access Publishing will be defined as follows:

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  - 1.2.1. In cases of articles authored by multiple authors only the Initial Corresponding Author may qualify as an Eligible Author.
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    - 1.3.1.2. designated in the manuscript as having been authored by a Participating Collaboration, as defined in Schedule 8, that includes one or more authors affiliated with the Licensee

- 1.3.2. articles accepted for publication by a Participating Journal (Schedule 7) within the range of dates comprising the Term of this Agreement (Schedule 1, section 7).
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#### 3. Open access workflows

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  - designation of authorship by a Participating Collaborations (Schedule 8);
     and/or
  - 3.1.4. persistent identifier, such as Ringgold, ORCID or other recognized identifier as provided by the Eligible Author and published in the article metadata; and
  - 3.1.5. affiliation as stated in the article to be published.
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- 3.4. The Publisher shall publish articles from Eligible Authors accepted under the policies of Participating Journals as open access articles in the Participating Journals under the Open Access License.
- 3.5. The Publisher, in collaboration with the Licensee, shall use reasonable efforts to explore the development of an author verification process as quickly as possible.
- 3.6. The Publisher will strive to accurately identify all Eligible Authors.

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- 3.8. The Publisher will strive to notify the Licensee once an article by an Eligible Author has been identified.
- 3.9. The Licensee will strive to verify the eligibility of an article as quickly as possible to ensure the timely production and publication of the article. If Licensee requires more than five (5) business days to approve or reject the eligibility of the article, they will inform the Publisher about the delay in the process.
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- 4.1. Articles confirmed to be Eligible Articles that are accepted by a Participating Journal will be published online under the Open Access License at no direct cost to the Eligible Authors.
- 4.2. Eligible Authors will retain their copyrights, and Eligible Authors only grant the Publisher the non-exclusive right to publish Eligible Articles under the terms and conditions of the Open Access License.
- 4.3. The Open Access License used by journals eligible to open access publication shall not be changed to a license more restrictive for users' rights during the Term.

#### 5. Invoicing and Reporting

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  - 5.3.1. The Publisher will strive to provide the quarterly report both in machine readable form in a structured format as comma separated value file format (csv), and in human readable format.
  - 5.3.2. The Publisher will strive to include the following details in the quarterly report:
    - 5.3.2.1. Name of the Publisher

- 5.3.2.2. Bibliographical metadata (Journal title, journal abbreviation, journal ISSN, journal business model, volume, issue, pages, article title, authors' names) or DOI
- 5.3.2.3. Eligible author, including ORCID if available
- 5.3.2.4. Eligible author's institution
- 5.3.2.5. Article acceptance date
- 5.3.2.6. Article type

# Schedule 7 Participating Journals

Under the Provision of Open Access Publishing detailed in Schedule 6, the following nine (9) hybrid titles comprise the Participating Journals:

Physical Review Letters

Physical Review A

Physical Review B

Physical Review C

Physical Review D

Physical Review E

Physical Review Applied

Physical Review Fluids

Physical Review Materials

#### **ISSN and CODENS:**

http://librarians.aps.org/issn http://journals.aps.org \*Also listed in Schedule 5

#### Librarian Portal:

http://librarians.aps.org/

## **APS Technical Support:**

E-mail: help@aps.org

# Schedule 8 Participating Collaborations

Name	Synonym	
AD-3	ASACUSA	
AD-5	ALPHA	
AD-6	AEGIS	
AD-7	GBAR	
AD-8	BASE	
ALICE		
ATLAS		
AWAKE		
CAST		
CMS		
CTF3		
EA-IRRAD Mixed-Field	CHARM	
EA-IRRAD Proton	IRRAD	
ELENA		
FASER		
GIF		
HiRadMat		
<u>IS409</u>	REX-ISOLDE	
<u>IS456</u>	ISOLDE	
<u>IS472</u>	ISOLDE	
<u>IS474</u>	ISOLDE	
IS481	ISOLDE	
IS483	ISOLDE	
<u>IS488</u>	ISOLDE	
<u>IS500</u>	ISOLDE	
<u>IS521</u>	ISOLDE	
<u>IS527</u>	ISOLDE	
IS529	ISOLDE	
<u>IS531</u>	ISOLDE	
<u>IS534</u>	ISOLDE	
<u>IS540</u>	ISOLDE	
<u>IS542</u>	ISOLDE	
<u>IS543</u>	ISOLDE	
<u>IS550</u>	ISOLDE	
<u>IS553</u>	ISOLDE	
<u>IS556</u>	ISOLDE	
<u>IS557</u>	ISOLDE	

IS563	ISOLDE
IS566	ISOLDE
IS581	ISOLDE
IS586	ISOLDE
IS587	ISOLDE
IS589	ISOLDE
IS591	ISOLDE
IS594	ISOLDE
IS595	ISOLDE
JS597	ISOLDE
IS599	ISOLDE
IS600	ISOLDE
IS602	ISOLDE
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IS609	ISOLDE
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<u>IS652</u>	ISOLDE
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<u>IS669</u>	ISOLDE
IS670	ISOLDE
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IS672	ISOLDE

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IS683	ISOLDE
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IS687	ISOLDE
IS688	ISOLDE
IS689	ISOLDE
IS690	ISOLDE
IS691	ISOLDE
IS692	ISOLDE
IS693	ISOLDE
IS694	ISOLDE
ISOLDE	10000
LCG	
LHCB	
LHCF	
MoEDAL	
NA58	COMPASS
NA61	SHINE
NA62	3,000
NA63	
NA64	
NA65	DsTau
NA66	AMBER
NP01	ICARUS/WA104
NP02	ProtoDUNE-DP
NP03	PLAFOND
NP04	ProtoDUNE-SP
NP05	Baby MIND
NP06	ENUBET
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NPO7	ND280

nTOF	
OSQAR	
PS212	DIRAC
PS215	CLOUD
RD-18	CRYSTAL CLEAR
RD42	
RD50	
RD51	
RD53	
SND@LHC	
TOTEM	
UA9	CRYSTAL

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Source: https://greybook.cern.ch/

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